

Resource Management - Risk Status Report - High and Very High Risks. Nov 10.

Risk Ref	Business Unit	Risk Details	Current Risk Rating				Target		Responses			
			02/10	05/10	08/10	11/10	Rating	Target Date	Not Started	In Progress	Implemented	Risk Owner
H&S0004	Corporate Health and Safety Team	Staff who work alone will fail to utilise the Lone Worker Scheme (Lookout). This scheme is designed to minimise the risk of injury to staff by logging activities and raising alarms when a person fails to log back into the system. Failure to ensure the scheme's effective use will result in staff injury, litigation and damage to SCC reputation.	n/i	12	12	12	6	12/09/2012	3	0	0	David Atkinson
RM0003	Resource Management	Maintain a positive relationship with CSD Maintain a positive and dynamic partnership with CSD to ensure that it supports the Council as it moves forward. Cost reduction is being managed principally by SPCM and linked to a separate risk: CORP0049	16	12	12	12	16		0	0	4	Graham Dixon

Risk Ref	Business Unit	Risk Details	Current Risk Rating				Target		Responses			
			02/10	05/10	08/10	11/10	Rating	Target Date	Not Started	In Progress	Implemented	Risk Owner
RM0005	Resource Management	<p>Suffolk County Council is facing financial uncertainty as part of the economic recession. RM needs to respond at pace and needs to be structured and equipped to face these challenges. Failure to explore the maximum potential within Resource Management both to reduce costs within the directorate, and to support the Council's wider transformational agenda will result in the Council exceeding future budgets, front-line services being reduced and overall damage to reputation.</p> <p>Detailed financial and resource planning is underway to refine and scope this risk.</p>	n/i	16	16	16	n/a	31/03/2011	0	1	1	Graham Dixon
RM0006	Resource Management	<p>Due to inaccurate site drainage plans and improper drainage systems, there will be a fuel/chemical spill /leak that will pollute groundwater or water courses resulting in an Environmental Agency prosecution and reputational damage.</p> <p>It is a major project - cost up to 500k - which has not been owned or identified by any SCC directorate.</p>	n/i	n/i	16	16	2	10/01/2011	0	1	1	Duncan Johnson
RMCM0005	Communications And Marketing	Higher incidence of extreme weather events and severe flooding will threaten the effectiveness of SCC ability to deliver services with financial, social and environmental consequences This will result in loss of public confidence and a loss of reputation.	n/i	12	12	12	9		1	0	0	Debbie Install

Risk Ref	Business Unit	Risk Details	Current Risk Rating				Target		Responses				
			02/10	05/10	08/10	11/10	Rating	Target Date	Not Started	In Progress	Implemented	Risk Owner	
RMICT0001	Strategic I.C.T.	Higher incidence of extreme weather events and subsequent disruptions resulting in SCC staff being unable to access ICT systems at work and/or at home. Therefore impacting on SCC's ability to deliver its services, most critically to those involving vulnerable / at risk groups. This will result in loss of public confidence in SCC's ability to deal with adverse events and business continuity.	n/i	12	12	12	12			1	0	0	Nigel Blake
RMICT0002	Strategic I.C.T.	Loss of Council switchboard services could result from a dependency on a legacy system and connectivity. Telephony at the Ipswich Registrars' office and Ipswich Unison office would also be impacted.	n/i	n/i	n/i	12	4	04/10/2011	1	0	1	Mark Adams-Wright	
RMICTC0001	Corporate Information & Records	Due to human error or misjudgement, data will be lost or misdirected leading to a breach of the Data Protection Act 1998 resulting in a fine or civil action, a complaint to the Information Commissioner and/or damage to the Council's reputation. From 06/04/10 the maximum fine is increased to £500,000.	12	12	12	12	9		0	0	3	Doug Reed	

Risk Ref	Business Unit	Risk Details	Current Risk Rating				Target		Responses			
			02/10	05/10	08/10	11/10	Rating	Target Date	Not Started	In Progress	Implemented	Risk Owner
RMICTC0002	Corporate Information & Records	Due to Directorates not giving sufficient priority/resources, the Council fails to manage its corporate records, principally electronic but including hard copy, according to the Local Government Classification Scheme (applicable to all local authorities) and, in so doing, it also fails to comply with Section 46 of the Freedom of Information Act (Code of Practice on the Management of Records). This would result in the Council being potentially unable to meet its statutory requirements to satisfy information and personal data requests; and also result in action by the Information Commissioner leading to serious reputational damage.	12	12	12	12	6		0	1	0	Doug Reed
RMPP0009	RM Business Development	The inability of front-line staff and their managers to input and maintain reliable and accurate data around Social Care and Education will lead to ill-informed decision making and operational failure. This will result in poor customer service, inability to deliver accurate data to central agencies and reputational damage.	12	12	12	12	6		0	0	4	Lyn Baran
RMPYLP0002	Locality & Property Services	Lack of budget for building maintenance Leads to an increased backlog of work, deteriorating building conditions and buildings are that not-fit for purpose which will impact negatively on staff and service delivery. The level of reactive maintenance will now have to be reduced with potential Health and Safety implications.	16	16	16	12	6	29/11/2010	0	1	0	Nadine Coleman

Risk Ref	Business Unit	Risk Details	Current Risk Rating				Target		Responses			
			02/10	05/10	08/10	11/10	Rating	Target Date	Not Started	In Progress	Implemented	Risk Owner
RMPYLP0004	Locality & Property Services	H&S- failure to comply to Legionella, asbestos management and CDM leading to premises closures, deaths & Corp. Manslaughter	12	12	12	12	9	29/11/2010	1	0	1	Nadine Coleman
RMPYPP0001	Major Programmes And Projects	Failure to deliver office accommodation solution in Waveney area leads to insufficient suitable accommodation to support staff which has an adverse effect on service delivery - Waveney Campus project Board agreed to close the project on 17.12.09 and a successor has yet to be identified To mitigate impact SCC have initiated a review of existing office provision and need in Lowestoft to establish: 1. Short/medium term measures to improve accommodation provision 2. Longer term solution to accommodation needs.	12	12	12	12	9		0	0	1	Brian Prettyman
RMSF0003	Strategic Finance	Recession: There are additional demands placed upon the council's budget as a result of the economic climate and Income from central government (i.e. RSG/ABG/DSG etc.) and income from charging is lower than expected in future years.	12	12	12	12	9	29/11/2010	0	1	3	Geoff Dobson
RMSF0008	Strategic Finance	School budgets: Increasing number of schools with projected overspends and low growth in school grants lead to significant and unsustainable build up of school deficits, and reduced outcomes for children and young people.	12	12	12	12	6		0	1	1	Gavin Bultitude

Risk Ref	Business Unit	Risk Details	Current Risk Rating				Target		Responses			
			02/10	05/10	08/10	11/10	Rating	Target Date	Not Started	In Progress	Implemented	Risk Owner
RMSF0014	Strategic Finance	Higher incidence of extreme weather events will result in changes to infrastructure and services requiring additional funding. This will result in reduced council budgets and consequent impact on the councils ability to deliver services and corporate priorities and loss of reputation.	n/i	12	12	12	9		1	0	0	Geoff Dobson
RMSF0015	Strategic Finance	Failure to agree robust and sustainable funding for SOR and BSF	n/i	12	12	12	8	30/12/2011	0	1	0	Gavin Bultitude
RMSHR0002	Strategic HR	The need to reduce costs : unaffordable pay-bill. Inadequate or withdrawal of reward mechanisms such as pay increments and essential car user allowance will lead to staff dissatisfaction, low morale and withdrawal of goodwill. This will result in lower productivity and higher service delivery costs in other parts of the organisation.	12	12	12	12	6	20/12/2010	0	1	1	Sally Marlow

Risk Ref	Business Unit	Risk Details	Current Risk Rating				Target		Responses			
			02/10	05/10	08/10	11/10	Rating	Target Date	Not Started	In Progress	Implemented	Risk Owner
RMSHR0003	Strategic HR	The current model for HR Service provision via CSD will not be fit for purpose in a changing organisational environment. Managers will not be able to access quality information and advice on HR issues, diverting the strategic HR team from developing effective strategies and commissioning for the future. This will result in a disjointed approach to HR management, a failure to grasp significant opportunities from change programmes leading to unnecessary litigation, grievances, spiralling costs and reputational damage. Organisational change will not be delivered at the required pace.	16	16	16	16	6	20/12/2010	0	3	0	Sally Marlow
RMSM0005	Scrutiny and Monitoring	Failure to implement proper budgetary control procedures, and future and longer term financial planning, will result in overspends and failure to meet targets	12	12	12	12	9		0	1	0	Eric Whitfield
RMSMAS0010	Audit Services	Adding Value to the Authority by: (a) ensuring Audit Services staff are aware of national issues; and (b) ensuring Audit Services staff are aware of corporate priorities and initiatives and that audit reports reflect these when evaluating risks and controls and making recommendations (c) participating in development groups and making a positive contribution, particularly addressing governance issues	15	15	15	15	20		0	0	2	Tim Ryder

Risk Ref	Business Unit	Risk Details	Current Risk Rating				Target		Responses			
			02/10	05/10	08/10	11/10	Rating	Target Date	Not Started	In Progress	Implemented	Risk Owner
RMSMAS0012	Audit Services	Delivering an efficient and effective service by developing SWAPS and External Partnerships. Taking opportunities to deliver audit services jointly with district/borough councils to further increase quality, capacity and resilience.	12	12	12	12	25	31/12/2010	0	2	1	Tim Ryder
RMSMAS0013	Audit Services	Generating additional income by retaining existing contracts and actively pursuing other opportunities within the public and voluntary sector	15	15	15	15	20	31/12/2010	0	2	0	Tim Ryder
RMSMLS0006	Legal Services	Delivering an efficient and effective service by developing DBB and External Partnerships. Taking opportunities to deliver legal services jointly with district/borough councils to further increase quality, capacity and resilience.	12	12	12	12	25		0	0	0	David White
SFPF0011	Pension Fund	Investment Failure of investment strategy to produce long-term returns assumed by Funding Strategy.	12	12	12	12	12		1	1	0	Leanne Minnican
SFPF0012	Pension Fund	Investment Failure of investment markets (market crash).	12	12	12	12	12		1	0	1	Leanne Minnican
			26	234	306	322	330	261	2	17	25	