

Detailed Report

DATE: 08/07/2009

SERVICE: Social Inclusion & Diversity

Risk	Current Impact	Current Likelihood	Current Risk Rating	Underlying Issues	Target Impact	Controlled Likelihood	Target Risk Rating	Risk Owner
<p>PPSI0002 - Threat</p> <p>Long term effects of the economic recession and changes in Government funding and partnership funding will have an on-going affect on the work of the unit</p>	Moderate 3	Moderate 3	Medium 9	<p>New areas of work emerge</p> <p>Pressure on current services</p> <p>Loss of staff.</p> <p>Inability to recruit new staff.</p> <p>Reduction in budgets will lead to inability to fund projects</p>	Minor 2	Unlikely 2	Low 4	Julia Stephens-Row

Response	Response Details	Status	Control Owner
Develop new income streams	Look at European funding linked to Recession/ PPO/ upturn : Update to be carried out in February 2010. To contact Jai Raiathra.	In Progress	Alan Keely
Explore better ways of delivering outcomes from the voluntary community sector	Due to the quickened pace of implementing the NSD as well as the financial settlement from the government. A reduced level of funding is now available to support the voluntary community sector to deliver equality outcomes. The collective commissioning approach is not a viable option for partners due to their financial positions. However a number of discussions are taking place with the sector to explore divestment of front line servies. This will improve outcomes for the voluntary sector.	In Progress	Shammi Jalota
Employment Opportunities via LEAPs	Through the Learning & Enterprise Access Points. This will facilitate an increase in skills, whilst at the same time assisting them to volunteer. Work Taster placement commenced in April 2010 and now completed.	Implemented	Shammi Jalota
Implications of NSD	Need to champion/advocate socially excluded groups within New Strategic Direction framework.	In Progress	Shammi Jalota
Opportunity to explore divesting services and strengthening communities	Due to the budget savings within the team the manager is in the process of idenfing / prioritising where key areas of work are best plased in order for services for vulneratble people are being deliverd.	In Progress	Julia Stephens-Row

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DATE: 26/05/2010

SERVICE: Social Inclusion & Diversity

Risk	Current Impact	Current Likelihood	Current Risk Rating	Underlying Issues	Target Impact	Controlled Likelihood	Target Risk Rating	Risk Owner
PPSI0016 - Threat Failure of agencies and the system to engage with the project and/or operate differently around families and the Family Network Team leads to mixed messages about a positive new start resulting in reduced outcomes, families 'reverting to type'/feeling hopeless and more work for the FNT to resolve agency issues.	Moderate 3	Moderate 3	Medium 9	Individual conversations as need arises	Minor 2	Unlikely 2	Low 4	Julia Stephens-Row

Response	Response Details	Status	Control Owner
Communications Plan	Communications Plan, telephone conferences, practitioner engagement throughout the process, engagement through HD Panel meetings. Individual conversations as need arises	In Progress	Julia Stephens-Row
Leadership Academy	Engage Leadership Academy process as HDF module. Taking place in July 10 onwards	Implemented	Julia Stephens-Row

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DATE: 02/06/2010

SERVICE: Social Inclusion & Diversity

Risk	Current Impact	Current Likelihood	Current Risk Rating	Underlying Issues	Target Impact	Controlled Likelihood	Target Risk Rating	Risk Owner
PPSI0020 - Threat Failure to meet expectations of the project in terms of benefits realisation results in it being closed and the lessons learnt not being taken forward	Moderate 3	Moderate 3	Medium 9	6 monthly evaluation - first report end June to be shared with sponsors, chief executive, and chief constable Cost savings calculated and information shared	Minor 2	Unlikely 2	Low 4	Julia Stephens-Row

Response	Response Details	Status	Control Owner
6 monthly evaluation	6 monthly evaluation - first report end June to be shared with sponsors, chief executive, and chief constable Cost savings calculated and information shared - Now Completed. 2nd Evaluations report discussed with CEVEC and CC Police.	Implemented	Julia Stephens-Row

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DATE: 02/06/2010

SERVICE: Social Inclusion & Diversity

Risk	Current Impact	Current Likelihood	Current Risk Rating	Underlying Issues	Target Impact	Controlled Likelihood	Target Risk Rating	Risk Owner
PPSI0021 - Opportunity Opportunity to scale up the project or translate it into the transformation of mainstream service delivery is not taken up resulting in the learning and benefits of the approach being lost	Minor 2	Unlikely 2	Low 4		Moderate 3	Moderate 3	Medium 9	Julia Stephens-Row

Response	Response Details	Status	Control Owner
Opportunity for HD Panel	Opportunity for HD Panel to discuss the approach and how the ethos and learning from the project can be taken forward in the long term. HDF module Leadership Academy. Use evaluation to shape thinking within agencies and influence Think Family.	In Progress	Julia Stephens-Row

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DATE: 29/03/2010

SERVICE: Community Cohesion & Diversity

Risk	Current Impact	Current Likelihood	Current Risk Rating	Underlying Issues	Target Impact	Controlled Likelihood	Target Risk Rating	Risk Owner
<p>PPCCD0024 - Threat</p> <p>Management of West Meadows Gypsy and Traveller Site: Failure to 3 risk exist. 1) prudential borrowing needs to repaid at a value of œ29k per annum. 2) IBC have agreed to continue collecting the rent via their Saffron System and finally that we may not identify a new provider quickly in which case SCC's management time is being exceeded.</p> <p>Expressions of interest have been issued to new providers. IBC have also agreed to collect the rental for SCC until further notice from SCC. CSD Public Access are aware.</p>	Moderate 3	Moderate 3	Medium 9	<p>SCC have been working on the transitional management arrangement for the past 11 months. The site will be managed by SCC on the 1 April 2010. A new provider of this service is being sought via Procurement. Tender document will be issued by Mid March and a new provider hopefully in place by June/July 2011.</p>	Minor 2	Unlikely 2	Low 4	Shammi Jalota

Response	Response Details	Status	Control Owner
Two Project Groups with Workstreams.	<p>Site is being managed by SCC Staff. Legal services and procurement are engaged in identifying new provider.</p> <p>New Provider will be identified by mid 2011.</p>	In Progress	Shammi Jalota

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DATE: 13/07/2010

SERVICE: Community Cohesion & Diversity

Risk	Current Impact	Current Likelihood	Current Risk Rating	Underlying Issues	Target Impact	Controlled Likelihood	Target Risk Rating	Risk Owner
PPCCD0026 - Threat Actions relating to the race, disability and gender equality scheme action plans not delivered thus legislatively negating our general and specific duties to promote equality.	Moderate 3	Moderate 3	Medium 9	It is important that directorates deliver the activities outlined within the related plans that they have agreed. Equality action groups to drive activities forward at directorate level.	Insignificant 1	Rare 1	Low 1	Shammi Jalota

Response	Response Details	Status	Control Owner
Equality Action Groups at Directorate level to deliver the agreed activities within thier action plans.	This will be supported by SID Team. Action plans are being managed throughy EA Groups and will be updated by the begining of April 2011 to assess progress.	In Progress	Shammi Jalota

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DATE: 23/12/2008

SERVICE: Community Cohesion & Diversity

Risk	Current Impact	Current Likelihood	Current Risk Rating	Underlying Issues	Target Impact	Controlled Likelihood	Target Risk Rating	Risk Owner
<p>PPCCD0002 - Threat</p> <p>Due to a failure in management in any part of our organisation, Suffolk County Council will fail to meet its statutory duties in relation to race, gender and disability equality. This will cause damage to the Council's reputation.</p>	Moderate 3	Unlikely 2	Medium 6	Suffolk County Council has a statutory duty to promote equality and diversity in relation to gender, race and disability. We have a legal duty to produce and publish a race, gender and disability equality scheme, as well as a comprehensive equalities policy and corporate equality plan. These strategies, policies and plans cover all aspects of the organisation, relating to employment, service delivery,	Moderate 3	Rare 1	Low 3	Shammi Jalota

Response	Response Details	Status	Control Owner
To ensure that the action plans that govern the race, gender and disability schemes are delivered upon	<p>Reports on progress against the equality schemes provided to the Strategic Diversity Group and Diversity Champions Group at regular intervals. These action plans are disseminated, owned and actioned by the equality action groups that exist within Suffolk County Council.</p> <p>The Disability Equality Scheme will run from Jan 2010 to Dec 2013. The Race Eq. Scheme runs from 2008 to 2011. Finally the Gender Eq Scheme runs from 2010 to 2013. All Action Plans are reviewed annually. Performance will be managed by the Strategic Diversity Group.</p>	In Progress	Shammi Jalota

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DATE: 05/01/2010

SERVICE: Community Cohesion & Diversity

Risk	Current Impact	Current Likelihood	Current Risk Rating	Underlying Issues	Target Impact	Controlled Likelihood	Target Risk Rating	Risk Owner
<p>PPCCD0023 - Threat</p> <p>Failure to pay attention to the changing demographics and transient nature of communities. This will result in increases levels of community tensions and/or critical incidents.</p>	<p>Moderate</p> <p>3</p>	<p>Unlikely</p> <p>2</p>	<p>Medium</p> <p>6</p>	<p>There is a small number of areas of Suffolk where there are challenges in relation to people from different backgrounds getting on well together. This has resulted in community conflict, misinformation and incidents of anti social behaviour. This can cause escalation in terms of community confidence.</p> <p>The economic recession will impact on a</p>	<p>Moderate</p> <p>3</p>	<p>Unlikely</p> <p>2</p>	<p>Medium</p> <p>6</p>	<p>Shammi Jalota</p>

Response	Response Details	Status	Control Owner
Use existing inter-agency working to manage this risk:	This includes - utilising SNT Tasking meetings as well as county-wide case conference processes to prioritise actions as necessary.	Implemented	Shammi Jalota
Community Tentions	We will use existing interagency arrangement to manage this particular risk.	In Progress	Shammi Jalota

Detailed Report

DATE: 15/08/2006

SERVICE: Community Safety

Risk	Current Impact	Current Likelihood	Current Risk Rating	Underlying Issues	Target Impact	Controlled Likelihood	Target Risk Rating	Risk Owner
<p>PPSICS0003 - Threat</p> <p>A reduction in funding will result in the inability to react to strategic demand and could subsequently reduce the ability to address specific core needs around Community Safety. In particular it will result in the inability to fund core projects and meet commitments. Specifically this includes the Homeshield project, Domestic violence projects, the PPO post and the Accreditation scheme and will impact, in particular, on the Associated LAA Targets. This will result in outcomes not being achieved and a collapse of partnership work.</p>	Moderate 3	Moderate 3	Medium 9		Moderate 3	Rare 1	Low 3	Alan Keely

Response	Response Details	Status	Control Owner
Identification of impact to service delivery of reduction in funding	A Finance Paper to the Board will be presented on 9th March to identify the implications of reduced funding from the Home Office.	In Progress	Alan Keely
Identification of savings/efficiency gains that can be made	Regular budget review meetings within the authority and by reviews at CDRP and other partnership meetings	In Progress	Alan Keely
Use SCC Budget Process to identify demand pressures and risk of savings expected	Use the prescribed framework and to meet the councils aspirations for efficiency savings	In Progress	Alan Keely

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DATE: 10/09/2009

SERVICE: Community Safety

Risk	Current Impact	Current Likelihood	Current Risk Rating	Underlying Issues	Target Impact	Controlled Likelihood	Target Risk Rating	Risk Owner
PPSICS0014 - Threat Failure to achieve the LAA target LT7 Reduce the number of serious violent crimes in a public place linked to alcohol and substance misuse	Moderate 3	Moderate 3	Medium 9	Limited ability to influence licencees Limited ability to alter inherent drinking culture Effects of the economic downturn	Moderate 3	Unlikely 2	Medium 6	Alan Keely

Response	Response Details	Status	Control Owner
Set up multi agency strategic group and local action teams	Local groups to deliver action plans based on the Home Office Tactical Action Plan continue to function in the locality areas	Implemented	Alan Keely

Detailed Report

DATE: 20/10/2006

SERVICE: Drugs & Alcohol Action Team

Risk	Current Impact	Current Likelihood	Current Risk Rating	Underlying Issues	Target Impact	Controlled Likelihood	Target Risk Rating	Risk Owner
<p>PPSIDA0001 - Threat</p> <p>SDAAT is clear that all future funding will be performance linked. Having control over commissioned service performance through contracts will provide an opportunity to increase funding in the future and incentivise delivery partners to focus on the key performance targets - eg successful treatment completions.</p>	Moderate 3	Likely 4	High 12	<p>The Pooled Treatment Budget is now linked to performance measures (TOPS, Client retention and numbers engaged in effective treatment) and the budget is now partly dependant upon performance against the listed areas.</p> <p>The budget will not fluctuate negatively more than 30% and positively not more than 10%.</p>	Moderate 3	Likely 4	High 12	Simon Aalders

Response	Response Details	Status	Control Owner
Identification of impact on services of increased targets	Close partnership working with all treatment provider agencies and development of long term strategic plans will enable all partners to work together to mitigate and reduce the risks	Implemented	Simon Aalders
Identification of implications of insufficient funding.	Working closely with partners we can ensure the services operate as financially efficiently and as clinically effectively as possible via the Suffolk Treatment System Forum we ensure effective communication with partners on these issues. Part of Business as usual - regular meetings and performance reviews.	Implemented	Simon Aalders
Performance linked PTB grant allocations	Financial impact of not meeting targets. DAAT performance now closely linked with funding. SDAAT has attracted an additional £130,000 this financial year (09/10)	Implemented	Simon Aalders

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DATE: 25/11/2010

SERVICE: Drugs & Alcohol Action Team

Risk	Current Impact	Current Likelihood	Current Risk Rating	Underlying Issues	Target Impact	Controlled Likelihood	Target Risk Rating	Risk Owner
PPSIDA0010 - Threat The risk details are that the tender transfer period is delayed and therefore the contracts cannot start at the required time.	Minor 2	Rare 1	Low 2	Failure to deliver contact start time could impact on the reputations of the CC and other partners.	Minor 2	Rare 1	Low 2	Simon Aalders

Response	Response Details	Status	Control Owner
Partnership Engagement	We have a detailed implimentation plan. The DAAT project manager is working closely with CRI and Open Roads implementation managers to ensure the implementation plan timetable is met.	In Progress	Simon Aalders
Partnership Engagement	We have a detailed implimentation plan. The DAAT project manager is working closely with CRI and Open Roads implementation managers to ensure the implementation plan timetable is met.	In Progress	Simon Aalders

Detailed Report

DATE: 04/06/2009

SERVICE: Sexual Exploitation Reduction

Risk	Current Impact	Current Likelihood	Current Risk Rating	Underlying Issues	Target Impact	Controlled Likelihood	Target Risk Rating	Risk Owner
PPSISE0003 - Threat Due to the lack of awareness and time, there is a risk that children at risk of sexual exploitation may not be identified	Moderate 3	Moderate 3	Medium 9	Lack of knowledge, understanding and awareness of sexual exploitation in some teams and organisations, including schools Lack of time to prioritise this work by social workers involved in child protection	Minor 2	Unlikely 2	Low 4	Helen Hepburn

Response	Response Details	Status	Control Owner
Coordinator and champion of good practice works alongside staff and managers in identifying and risk managing children	Dedicated members of staff recruited for this area of work. They will attend child care team meetings, child in need meetings, multi agency risk management meetings, and strategy meetings chaired by safeguarding managers. They will put together chronologies and work alongside social workers if required. A database will be held of vulnerable children at risk, including perpetrators which is updated and sent to safeguarding managers monthly. Risks not identified yet by CYP services and not put on system	In Progress	Helen Hepburn
Multi agency awareness raising training	Half day awareness raising sessions delivered around the county. One day course good practice course delivered by 4YP on behalf of the county council. This area of practice incorporated into personal social and health (PSHE) in schools.	In Progress	Helen Hepburn
Identification of perpetrators in order to bring them to justice where appropriate.	Awareness of legislation incorporated into awareness training. Coordinator appointed to bring together evidence in order to prosecute where appropriate.	In Progress	Helen Hepburn

Detailed Report

DATE: 14/05/2009

SERVICE: Sexual Exploitation Reduction

Risk	Current Impact	Current Likelihood	Current Risk Rating	Underlying Issues	Target Impact	Controlled Likelihood	Target Risk Rating	Risk Owner
<p>PPSISE0001 - Threat</p> <p>Due to the chaotic nature of a small number of women who were or are still involved in prostitution housing options can be limited. This can result in the continued vulnerability of those individuals and it is less likely that they will take advantage of drug treatment, and other services.</p>	Moderate 3	Unlikely 2	Medium 6	The controls will ensure that the risk to these women is reduced and they are assisted to become more stable as part of the strategy.	Minor 2	Unlikely 2	Low 4	Helen Hepburn

Response	Response Details	Status	Control Owner
Continuing to work with housing providers	Continuing to work with housing providers, particularly supported housing, in order to consider applications from the more chaotic women. B & B room purchases for a year for emergency and short-term needs. B & B has meant that women have been housed on a short and medium term basis. However, it is difficult to move them on due to their chaotic lifestyles. There is also a risk attached to the B & B where coercive adults are targetting the women, and also the children placed there	In Progress	Helen Hepburn
Ensuring that housing support from SNAP is put in place.	Housing support from SNAP will need to be put in place to support the women. Floating Housing Support workers in the team will work with 16-23 year olds	In Progress	Helen Hepburn
Arranging short-term and emergency housing through partnership	Arranging short-term and emergency housing through partnership with a good quality Bed and Breakfast as an interim measure.	Implemented	Helen Hepburn

Detailed Report

DATE: 08/04/2008

SERVICE: Sexual Exploitation Reduction

Risk	Current Impact	Current Likelihood	Current Risk Rating	Underlying Issues	Target Impact	Controlled Likelihood	Target Risk Rating	Risk Owner
PPSISE0005 - Threat Risk to staff when visiting clients/customers from potentially violent situations	Minor 2	Moderate 3	Medium 6		Minor 2	Unlikely 2	Low 4	Helen Hepburn

Response	Response Details	Status	Control Owner
Risk of violence	Risk assessments to be undertaken for every visit. If necessary visiting in pairs and sign off after every visit with a manager or colleague as agreed. Lookout call Training for lone working has taken place and workers have been registered. Unisafe training complete Incident forms are being completed and a letter has been sent to high risk individuals. General letters will be given to each client.	Implemented	Helen Hepburn

Detailed Report

DATE: 14/05/2009

SERVICE: Sexual Exploitation Reduction

Risk	Current Impact	Current Likelihood	Current Risk Rating	Underlying issues	Target Impact	Controlled Likelihood	Target Risk Rating	Risk Owner
<p>PPSISE0002 - Threat</p> <p>Off - Street Police Activity</p> <p>The Off Street police activity is having an adverse affect upon the capacity of the team. Particularly in terms of police availability (support work) and consequent demand from off-street women. This may result in vulnerable women not receiving the required support.</p>	Minor 2	Unlikely 2	Low 4	<p>The police are required to engage in Operation Laser. Consequence of this is an increase in client base.</p> <p>Temporary social worker is leading on service users supported by police officers. Police officers cotnuing to support women on their case load</p>	Minor 2	Unlikely 2	Low 4	Helen Hepburn

Response	Response Details	Status	Control Owner
Development of Off-Street Strategy	<p>This is a multi agency county-wide strategy to reduce risk to women in prostitution and to assist them to exit off-street work. Contact is beginning to be made with other parts of the county through Community Safety Partnerships. They are invited to develop Implementation groups.</p> <p>October 2010 - All Community Safety Managers are attending the Implementation group held in Ipswich for now, and localised training is being delivered to District Councils</p>	In Progress	Helen Hepburn
Development of Off-Street Strategy	<p>There needs to be an increased capacity to deal with off-street prostitution. The police have funded a third officer and funding is available to increase managerial capacity. Police officers are still supporting service users. Social work teams, health, drug treatment agencies and others need to be skilled up to respond to needs.</p> <p>11-06-2010 Temporary increase in social work capacity and administration in order to enable Manager to visit other parts of the county. Multi-agency training being delivered</p>	In Progress	Helen Hepburn

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DATE: 08/04/2008

SERVICE: Sexual Exploitation Reduction

Risk	Current Impact	Current Likelihood	Current Risk Rating	Underlying Issues	Target Impact	Controlled Likelihood	Target Risk Rating	Risk Owner
PPSISE0004 - Threat Due to the voluntary nature of contributions to the flexible fund, we may not have the money to help women when they do not have access to benefits or housing.	Minor 2	Unlikely 2	Low 4	This deals with immediate and short term needs. Includes basics such as food. Aim is to re-settle.	Minor 2	Unlikely 2	Low 4	Helen Hepburn

Response	Response Details	Status	Control Owner
Lack of funding	Likely that police will not give money for flexible funding in 2010-11. œ3,500 obtained from Rope Fund in Jan 2010 Funding to extend staffing for off-street strategy will have to include funding for rent deposits etc. 11-0610 - œ2000 funding from the police œ10,000 from Community Safety for housing related costs œ3000 remains for Bed & Breakfast room œ2000 for drop in centre from DAAT	Implemented	Helen Hepburn
Lack of funding	To identify amount required in 2010/2011. Communicate with church to request funding for trafficked women. 11-06-2010 - Amount identified - Yet to receive a response from the church	Implemented	Helen Hepburn

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18/02/2010

SERVICE: Sexual Exploitation Reduction

	Current Impact	Current Likelihood	Current Risk Rating	Underlying Issues	Target Impact	Controlled Likelihood	Target Risk Rating	Risk Owner
0011 - Opportunity users live in a variety of housing ; private sector housing some of not in a good state for example windows.	Minor 2	Unlikely 2	Low 4		Minor 2	Rare 1	Low 2	Helen Hepburn

se	Response Details	Status	Control Owner
Home Shield Plus to give environmental, fire and other advice	Arrange for visits from Home Shield Plus Community Safety Manager approached to organise this - forms to be given to service users	In Progress	Helen Hepburn